DOCUMENT VERSIONS

Version	Pages modified / Observations
03/09/2020	First version of the document
07/06/2021	Second version of the document. Field 14 is changed to optional and fields 10 and 11 are included to allow the identification of the receiving party of the response via proprietary identification. In addition, explanatory text is included in field 7.
22/11/2021	Third version of the document. Format is updated to version 3. *Previous Disclosure Request Identification* field is added to allow the communication of a previous message reference.

Section	Title
Identification of shareholders and beneficial owners.	Request for information on shareholders and beneficial owners.

FORMAT seev.045.001.03

General description

It is a request for information from an issuer of a value code to entities with positions on the reference date so that they communicate the list of shareholders and/or beneficial owners of a security.

Origin/Destination

Issuer: Issuers, CSD

Receiver: IBERCLEAR

Issuer: IBERCLEAR

Receiver: Participants, CSD

Main related messages

Each seev.045 message implies that the recipient of the message responds with the information requested by the seev.047 message (response to the request).

Relationship with Owner messages

It has an equivalent in HTITU12 for those who decide to receive a proprietary format.

Format structure

	Mult.	Or	Lv	<XML Tag>	Message Item
	[1..1]		0	**<ShrhldrsIdDsclsrReq>**	Shareholders Identification Disclosure Request V01
1	[1..1]		1	**<IssrDsclsrReqId>**	Issuer Disclosure Request Identification
2	[1..1]		1	**<DsclsrReqTp>**	Disclosure Request Type
3	[0..1]		1	**<PrvsDsclsrReqId>**	Previous Disclosure Request Identification
4	[1..1]		1	**<FwdReqInd>**	Forward Request Indicator
5	[0..1]		1	**<ShrhldrRghtsDrctvInd>**	Shareholder Rights Directive Indicator
	[1..1]		1	**<FinInstrmId>**	Financial Instrument Identification
6	[1..1]		2	_<ISIN>	ISIN
	[1..1]		1	**<ShrhldrsDsclsrRcrdDt>**	Shareholders Disclosure Record Date
	[1..1]		2	_<Dt>	Date
7	[1..1]		3	__<Dt>	Date
8	[0..1]		1	**<ShrsQtyThrshld>**	Shares Quantity Threshold
	[0..1]		1	**<ReqShrHeldDt>**	Request Share Held Date
9	[1..1]	{Or	2	_<DtClctnMtd>	Date Calculation Method
10	[1..1]	Or}	2	_<DtClctnDesc>	Date Calculation Description
	[1..1]		1	**<DsclsrRspnRcpt>**	Disclosure Response Recipient
	[1..1]		2	_<Id>	Identification
	[1..1]	{Or	3	__<PrtryId>	Proprietary Identification
11	[1..1]		4	___<Id>	Identification
12	[1..1]		4	___<Issr>	Issuer
13	[1..1]	Or}	3	__<LEI>	LEI
14	[1..1]		2	_<RcptNm>	Recipient Name
	[1..1]		2	_<RspnRcptAdr>	Response Recipient Address
15	[0..1]		3	__<AnyBIC>	Any BIC
16	[0..1]		3	__<EmailAdr>	Email Address
17	[0..1]		3	__<URLAdr>	URL Address
	[1..1]		1	**<IssrDsclsrDdln>**	Issuer Disclosure Deadline
	[1..1]		2	_<Dt>	Date
18	[1..1]		3	__<DtTm>	Date Time

Clarification of fields

1. Name: Issuer Disclosure Request Identification
   Format: Up to 35 alphanumeric positions.
   Content: Disclosure request reference. Official and unique reference assigned to the request for disclosure of the holders.

2. Name   Disclosure Request Type
   Format: 4 alphabetical positions.
   Content: Request type. Shall be filled in with the following values:
   NEWM: New request.
   REPL: Replaces previously submitted request.

3. Name   Previous Disclosure Request Identification
   Format: Up to 35 alphanumeric positions.
   Content: Identification of the unique reference assigned in the header of the previously Seev.045 message. It will be always informed if the request type is REPL.

4. Name   Forward Request Indicator
   Format: Indicator: Boolean {true, false}.
   Content: Indicator that determines if the request is sent by the intermediary chain.
   Shall be filled in with the following values:
   True: The recipient of the message should transfer the request to the next intermediary in the chain of custody.
   False: The recipient of the message must not transmit it through the chain of intermediaries.

5. Name   Shareholder Rights Directive Indicator
   Format: Indicator: Boolean {true, false}.
   Content: Indicates whether the request was initiated by the first intermediary in the custody chain in accordance with SRD II. Shall be filled in with the following values:
   True: the request was initiated by the first intermediary in the custody chain in accordance with SRD II.
   False: the request was no initiated by the first intermediary in the custody chain in accordance with SRD II.

6. Name   ISIN
   Format: 12 alphanumeric positions. Coding ISO 6166 [AZ] {2,2} [A-Z0-9] {9.9} [0-9] {1,1}.
   Content: Value code for which ownership identification is requested.

7. Name   Shareholders Disclosure Record Date
   Format: ISODate: YYYY-MM-DD.
   Content: Record date for which the identification of ownership of the positions is requested.

8. Name   Shares Quantity Threshold
   Format: Up to 18 digits of which 17 can be decimal.
   Decimal point spacing (example: 125.3).
   If there is no sign the amount is positive, for negative amounts a minus sign (example:

	Content:	-658). Minimum threshold or amount of securities at which the communication of ownership is mandatory. This field will only be reported in requests that require a minimum threshold to carry out the ownership identification, otherwise it will be reported to spaces.
9	Name Format: Content:	Date Calculation Method 4 alphabetical positions. Method by which they have maintained the positions throughout the history of holding in the communication of ownership. Shall be filled in with the following values: **FIFO**: First In, First Out. **LIFO**: Last In, First Out.
10	Name Format: Content:	Date Calculation Description 350 alphanumeric characters. If reported, it contains free text. If PFDT is indicated, it refers to the first holding date.
11	Name Format: Content:	Proprietary Identification 35 alphanumeric characters. Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.
12	Name Format: Content:	Issuer of Proprietary Identification 35 alphanumeric characters. Entity that assigns the identification.
13	Name Format: Content:	LEI of the entity receiving the ownership identification responses 20 alphanumeric positions [A-Z0-9] {18,18} [0-9] {2,2}. LEI code of entity to which the seev. message is to be sent. 047 with the disclosure of the requested ownership.
14	Name Format: Content:	Recipient Name 350 alphanumeric characters. Name of entity to which the seev. message is to be sent. 047 with the disclosure of the requested ownership.
15	Name Format: Content:	BIC Recipient 11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3} BIC code of entity to which the seev. message is to be sent. 047 with the disclosure of the requested ownership.
16	Name Format: Content:	Email Address Recipient 256 alphanumeric characters. E-mail address of the entity to which the seev message should be sent. 047 with the disclosure of the requested ownership.

17	Name	URL Address Recipient
	Format:	2048 alphanumeric characters.
	Content:	URL address of entity to which the seev. message is to be sent. 047 with the disclosure of the requested ownership.

18	Name	Date Time Issuer Disclosure Deadline
	Format:	ISODateTime:
		If GMT time: YYYY-MM-DDThh:mm:ss.sssZ.
		If local time: YYYY-MM-DDThh:mm:ss.sss.
	Content:	Date-time limit for response to the request, limit defined by the issuer.

DOCUMENT VERSIONS

Version	Pages modified / Observations
03/09/2020	First version of the document
03/09/2020	XML Tag of Proprietary Identification of legal person has been amended to <PrtryId>. Furthermore, a description about Identification Type equivalences Seev.047-HTITU12 has been added in fields 31 and 52.
03/09/2020	Fields 36, 46, 47, 48 and 55 are converted to mandatory fields. Furthermore, Ownership and Ownership Type are converted to mandatory in both legal and natural person. Also, Address of natural person is converted to mandatory.
21/01/2021	Second version of the document: the format of fields 42,43,62 and 63 are amended in order to clarify that the separation of decimals is done with a dot(.).
07/06/2021	Third version of the document: Field 9 is added to give the intermediary the possibility to identify himself through LEI and the content of field 8 is amended. In addition, field 15 is added to give the possibility of identifying the Account servicer through LEI and the content of field 14 is amended.
22/11/2021	Fourth version of the document. The format is updated to version 2. *Year Of Incorporation* field is added. *Natural person* and *legal person* fields are modified to allow the communication of legal person co-ownership under the same safekeeping account and a mix of legal and natural persons as account owners.

Section	Title
Identification of shareholders and beneficiaries	Disclosure with information on shareholders and beneficial owners

FORMAT seev.047.001.02

General description

It is the disclosure with information of the shareholders and/or beneficial owners of a security.

Origin/Destination

Issuer: IBERCLEAR

Recipient: Participants, CSDs, intermediaries.

Issuer: Participants, CSDs, intermediaries.

Recipient: IBERCLEAR

Main related messages

Each seev.047 message responds to a prior request from the seev.045 message recipient, responding with the requested ownership.

Relationship with Owner messages

It has an equivalent in HTITU12 for those who decide to send a proprietary format.

Format structure

	Mult.	Or	Lv	<XML Tag>	Message Item
	[1..1]		0	**<ShrhldrsIdDsclsrRspn>**	Shareholders Identification Disclosure Response V01
	[0..1]		1	**<PgnTn>**	Pagination
1	[1..1]		2	_<PgNb>	Page Number
2	[1..1]		2	_<LastPgInd>	Last Page Indicator
	[1..1]		1	**<IssrDsclsrReqRef>**	Issuer Disclosure Request Reference
3	[1..1]		2	_<IssrDsclsrReqId>	Issuer Disclosure Request Identification
	[1..1]		2	_<FinInstrmId>	Financial Instrument Identification
4	[1..1]		3	__<ISIN>	ISIN
	[1..1]		2	_<ShrhldrsDsclsrRcrdDt>	Shareholders Disclosure Record Date
	[1..1]		3	__<Dt>	Date
5	[1..1]		4	___<Dt>	Date
6	[1..1]		1	**<DsclsrRspnId>**	Disclosure Response Identification
	[1..1]		1	**<RspndgIntrmy> >**	Responding Intermediary
	[1..1]		2	_<NmAndAdr>	Name And Address
7	[1..1]		3	__<Nm>	Name
	[1..1]		2	_<Id>	Identification
8	[1..1]	{Or	3	__<AnyBIC>	Any BIC
9	[1..1]	Or}	3	__<LEI>	LEI
	[0..1]		2	_<CtctPrsn>	Contact Person
10	[1..1]		3	__<Nm>	Name
11	[0..1]		3	__<EmailAdr>	Email Address
	[1..1]		1	**<DsclsrInf>**	Disclosure Information
12	[1..1]	{Or	2	_<NoDsclinf>	No Disclosure
	[1..*]	Or}	2	_<SfkpgAcctAndHldgs>	Safekeeping Account And Holdings
13	[1..1]		3	__<SfkpgAcct>	Safekeeping Account
	[1..1]		3	__<AcctSvcr>	Account Servicer
14	[1..1]	{Or	4	___<AnyBic>	Any Bic
15	[1..1]	Or}	3	__<LEI>	LEI
	[1..1]		3	__<ShrhldgBalOnOwnAcct>	Shareholding Balance On Own Account
16	[1..1]	{Or	4	___<Unit>	Unit
17	[1..1]	Or}	4	___<FaceAmt>	Face Amount
	[1..1]		3	__<ShrhldgBalOnClntAcct>	Shareholding Balance On Client Account
18	[1..1]	{Or	4	___<Unit>	Unit
19	[1..1]	Or}	4	___<FaceAmt>	Face Amount

	Mult.	Or	Lv	<XML Tag>	Message Item
	[1..1]		3	__<TtlShrhldgBal>	Total Shareholding Balance
20	[1..1]	{Or	4	___<Unit>	Unit
21	[1..1]	Or}	4	___<FaceAmt>	Face Amount
	[0..1]		3	__<AcctSubLvl>	Account Sub Level
	[0..1]		4	___<NonDscldShrhldgQty>	Non Disclosed Shareholding Quantity
22	[1..1]	{Or	5	____<Unit>	Unit
23	[1..1]	Or}	5	____<FaceAmt>	Face Amount
	[0..1]		4	___<BlwThrshldShrhldgQty>	Below Threshold Shareholding Quantity
24	[1..1]	{Or	5	____<Unit>	Unit
25	[1..1]	Or}	5	____<FaceAmt>	Face Amount
	[0..*]		4	___<Dsclsr>	Disclosure
26	[1..1]		5	____<SfkpgAcct>	Safekeeping Account
	[1..1]		5	____<AcctHldr>	Account Holder
	[0..25]		6	_____<LglPrsn>	Legal Person
	[1..1]		7	_____<NmAndAdr>	Name And Address
27	[1..1]		8	_____<Nm>	Name
	[1..1]		8	_____<Adr>	Address
28	[1..1]		9	_____<StrtNm>	Street Name
29	[1..1]		9	_____<PstCd>	Post Code
30	[1..1]		9	_____<TwnNm>	Town Name
31	[1..1]		9	_____<Ctry>	Country
32	[0..1]		7	_____<EmailAdr>	Email Address
	[1..1]		7	_____<Id>	Identification
33	[1..1]	{Or	8	_____<NtlRegnNb>	National Registration Number
34	[1..1]	Or	8	_____<LEI>	LEI
35	[1..1]	Or	8	_____<AnyBIC>	Any BIC
	[1..1]	Or}	8	_____<PrtryId>	Proprietary Identification
36	[1..1]		9	_____<Id>	Identification
37	[1..1]		9	_____<Issr>	Issuer
38	[1..1]		7	_____<CtryOfIncorprtn>	Country Of Incorporation
39	[0..1]		7	_____<YrOfIncorprtn>	Year Of Incorporation
	[0..1]		7	_____<InvstrTp>	Investor Type
	[1..1]		8	_____<Prtry>	Propietary
40	[1..1]		9	_____<Id>	Identification
41	[1..1]		9	_____<Issr>	Issuer
	[1..1]		7	_____<Ownrsh>	Ownership
	[1..1]		8	_____<OwnrshTp>	Ownership Type

	Mult.	Or	Lv	<XML Tag>	Message Item
42	[1..1]	{Or	9	_____<Cd>	Code
	[1..1]	Or}	9	_____<Prtry>	Propietary
43	[1..1]		10	_____<Id>	Identification
44	[1..1]		10	_____<Issr>	Issuer
45	[0..1]		8	_____<OwnrshPctg>	Ownership Percentage
46	[0..1]		8	_____<UsfrctPctg>	Usufruct Percentage
	[0..25]		6	_____<NtrlPrsn>	Natural Person
	[1..1]		7	_____<NmAndAdr>	Name And Address
47	[1..1]		8	_____<FrstNm>	First Name
48	[1..1]		8	_____<SrNm>	Surname
	[1..1]		8	_____<Adr>	Address
49	[1..1]		9	_____<StrtNm>	Street Name
50	[1..1]		9	_____<PstCd>	Post Code
51	[1..1]		9	_____<TwnNm>	Town Name
52	[1..1]		9	_____<Ctry>	Country
53	[0..1]		7	_____<EmailAdr>	Email Address
	[1..1]		7	_____<Id>	Identification
54	[1..1]		8	_____<Id>	Identification
	[0..1]		8	_____<IdTp>	Identification Type
55	[1..1]	{Or	9	_____<Cd>	Code
	[1..1]	Or}	9	_____<Prtry>	Proprietary
56	[1..1]		10	_____<Id>	Identification
57	[1..1]		10	_____<Issr>	Issuer
58	[1..1]		7	_____<Ntlty>	Nationality
	[0..1]		7	_____<DtAndPlcOfBirth>	Date And Place Of Birth
59	[1..1]		8	_____<BirthDt>	Bitrh Date
	[0..1]		7	_____<InvstrTp>	Investor type
	[1..1]		8	_____<Prtry>	Propietary
60	[1..1]		9	_____<Id>	Identification
61	[1..1]		9	_____<Issr>	Issuer
	[1..1]		7	_____<Ownrsh>	Ownership
	[1..1]		8	_____<OwnrshTp>	Ownership Type
62	[1..1]	{Or	9	_____<Cd>	Code
	[1..1]	Or}	9	_____<Prtry>	Proprietary
63	[1..1]		10	_____<Id>	Identification
64	[1..1]		10	_____<Issr>	Issuer
65	[0..1]		8	_____<OwnrshPctg>	Ownership Percentage

	Mult.	Or	Lv	<XML Tag>	Message Item
66	[0..1]		8	_____<UsfrctPctg>	Usufruct Percentage
	[1..1]		5	____<ShrhldgBal>	Shareholding Balance
67	[1..1]		6	_____<ShrhldgTp>	Shareholding Type
	[1..1]		6	_____<Qty>	Quantity
68	[1..1]	{Or	7	_____<Unit>	Unit
69	[1..1]	Or}	7	_____<FaceAmt>	Face Amount
	[0..1]		6	_____<InitlDtOfShrhldg>	Initial Date Of Shareholding
70	[1..1]	{Or	7	_____<Dt>	Date
	[1..1]	Or}	7	_____<DtCd>	Date Code
71	[1..1]		8	_____<Cd>	Code

Clarification of fields

1   Name: Page Number
     Format: Up to 5 numerical positions.
     Content: Page number.

2   Name   Last Page Indicator
     Format: Boolean Y/N.
     Content: Last page indicator:
                     True.
                     False.

3   Name   Issuer Disclosure Request Identification
     Format: Alphanumeric up to 35 positions.
     Content: Official and unique reference assigned to the request for disclosure of the holders.

4   Name   ISIN
     Format: 12 alphanumeric positions. Coding ISO 6166 [AZ] {2,2} [A-Z0-9] {9.9} [0-9] {1,1}.
     Content: Value code for which ownership identification is requested.

5   Name   Shareholders Disclosure Record Date
     Format: ISODate: YYYY-MM-DD.
     Content: Record date for which the identification of ownership of the positions is requested.

6   Name   Disclosure response Identification.
     Format: Alphanumeric up to 35 positions.
     Content: Unique answer reference with disclosures.

7   Name   Name of Responding Intermediary
     Format: Alphanumeric up to 350 positions.
     Content: Name of intermediary that produces the seev.047 response.

8   Name   BIC of Responding Intermediary
     Format: 11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3}
     Content: BIC code of the Intermediary that prepares the seev.047 response. For participant entities of IBERCLEAR, a BIC Code must be informed.

9   Name   LEI of Responding Intermediary
     Format: 20 alphanumeric positions [A-Z0-9]{18,18}[0-9]{2,2}.
     Content: LEI code of the Intermediary that prepares the seev.047 response.

10 Name   Name of Contact Person
     Format: Alphanumeric up to 35 positions.
     Content: Primary name. First surname.

11 Name   Email Address of Contact Person
     Format: Alphanumeric up to 256 positions.
     Content: Email.

12   Name   No disclosure (Disclosure Information)
       Format: 4-position code.
       Content: To indicate that there are no disclosures to communicate. Supported value:
                     NORE. *No reason*.

13   Name   Safekeeping Account
       Format: Alphanumeric up to 35 positions.
       Content: Securities account code with position.

14   Name   BIC of Account Servicer
       Format: 11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3}
       Content: BIC code of the institution that owns the account with position. For participant
                     entities of IBERCLEAR, a BIC Code must be informed.

15   Name   LEI of Account Servicer
       Format: 20 alphanumeric positions [A-Z0-9]{18,18}[0-9]{2,2}.
       Content: LEI code of the institution that owns the account with position.

16   Name   Unit (of Shareholding Balance On Own Account)
       Format: Numeric of up to 18 positions, maximum 17 decimal places.
       Content: Number of securities or units owned by the institution that responds to the request
                     for identification of ownership.

17   Name   Face Amount (of Shareholding Balance On Own Account)
       Format: Numeric of up to 18 positions, maximum 5 decimal places, depending on the Currency.
       Content: Face amount owned by the institution that responds to the ownership identification
                     request.

18   Name   Unit (of Shareholding Balance On Client Account)
       Format: Numeric of up to 18 positions, maximum 17 decimal places.
       Content: Number of securities or units that are owned by third parties.

19   Name   Face Amount (of Shareholding Balance On Client Account)
       Format: Numeric of up to 18 positions, maximum 5 decimal places, depending on the Currency.
       Content: Face amount of the position owned by third parties.

20   Name   Unit (of Total Shareholding Balance)
       Format: Numeric of up to 18 positions, maximum 17 decimal places.
       Content: Total number of securities or units: Own positions + third party positions.

21   Name   Face Amount (of Total Shareholding Balance)
       Format: Numeric of up to 18 positions, maximum 5 decimal places, depending on the Currency.
       Content: Total face amount: Own positions + third party positions.

22   Name   Unit (of Non Disclosed Shareholding Quantity in Account Sub Level)
       Format: Numeric of up to 18 positions, maximum 17 decimal places.
       Content: Number of securities or units, not disclosed.

23   Name   Face Amount (of Non Disclosed Shareholding Quantity in Account Sub Level)
     Format: Numeric of up to 18 positions, maximum 5 decimal places, depending on the Currency.
     Content: Face amount of the position, not disclosed.

24   Name   Unit (of Below Threshold Shareholding Quantity in Account Sub Level)
     Format: Numeric of up to 18 positions, maximum 17 decimal places.
     Content: Number of securities or units not reported because below the established threshold.

25   Name   Face Amount (of Below Threshold Shareholding Quantity in Account Sub Level)
     Format: Numeric of up to 18 positions, maximum 5 decimal places, depending on the Currency.
     Content: Face amount of the position not reported because below the established threshold.

26   Name   Safekeeping Account (of Disclosure)
     Format: Alphanumeric up to 35 positions.
     Content: Account code where the position is deposited (SAC or sub-account).

27   Name   Name (of legal Person in Account Holder of Disclosure)
     Format: Alphanumeric up to 350 positions.
     Content: Name of the legal person owning the disclosed position.

28   Name   Street Name
     Format: Text of up to 70 characters.
     Content: Free text to identify the street name.

29   Name   Post Code
     Format: Text of up to 16 characters.
     Content: Free text for post code.

30   Name   Town Name
     Format: Text of up to 35 characters.
     Content: Free text for town.

31   Name   Country
     Format: 2-position alphabetic code.
     Content: Country code ISO3166.

32   Name   Email Address
     Format: Alphanumeric up to 256 positions.
     Content: Email.

33   Name   National registration Number (Identification of Legal Person)
     Format: Alphanumeric up to 35 positions.
     Content: Official number assigned by a national registration authority.
                  The information of this field will be transferred in HTITU12 format to the issuer as
                  follows:
                  If it is a legal person with Spanish nationality, the content will be validated according
                  to the structure of a CIF:

❖ In the case of passing the validations, Ownership will be recorded in the system as a CIF (C).

❖ In the case of not passing the validations, Ownership will be rejected.

If it is a legal person with nationality other than Spanish, the content will be validated according to the structure of a CIF:

❖ In the case of passing the validations, Ownership will be recorded in the system as a CIF (C).

❖ In the case of not passing the validations, Ownership will be recorded in the system as OTROS (O).

34	Name	LEI of Legal Person
	Format:	20 alphanumeric positions [A-Z0-9] {18,18} [0-9] {2,2}.
	Content:	LEI code of the legal person.

35	Name	BIC of Legal Person
	Format:	11 alphanumeric positions [A-Z0-9] {4.4} [AZ] {2.2} [A-Z0-9] {2.2} [A-Z0-9] {3.3}.
	Content:	BIC code of the legal person.

36	Name	Proprietary Identification (Identification of legal person)
	Format:	Alphanumeric up to 35 positions.
	Content:	Identification type assigned by a national registration authority that differs from the list of the three previous fields.

37	Name	Issuer of Proprietary Identification (Identification of legal person)
	Format:	Alphanumeric up to 35 positions.
	Content:	Entity that assigns the above identification.

38	Name	Country of Incorporation
	Format:	2-position alphabetic code.
	Content:	Country code ISO3166.

39	Name	Year of Incorporation
	Format:	4-position alphabetic code. Year represented by YYYY.
	Content:	Year during which the legal entity was officially incorporated. ISO8601.

40	Name	Proprietary Identification of Investor Type
	Format:	Alphanumeric with 4 positions.
	Content:	AEB Subscriber code.

41	Name	Issuer of Proprietary Identification (Investor Type)
	Format:	Alphanumeric up to 35 positions.
	Content:	Entity that assigns the above identification. Must be completed with "AEB" in the first three positions to identify the code assigned by the Spanish Banking Association.

42	Name	Code of Ownership Type
	Format:	Alphanumeric with 4 positions.
	Content:	Holder type code:
		USUF: Usufructuary.

OWNR: Owner.
BOWN: Bare owner.

43	Name	Identification of Proprietary (Ownership Type)
	Format:	Alphanumeric with 4 positions.
	Content:	Proprietary code different from those described in the previous field.

44	Name	Issuer of Proprietary Identification (Ownership Type)
	Format:	Alphanumeric up to 35 positions.
	Content:	Entity that assigns the above identification.

45	Name	Ownership Percentage
	Format:	Numeric of up to 11 positions, including dot, maximum 10 decimal places.
	Content:	Percentage ownership.

46	Name	Usufruct Percentage
	Format:	Numeric of up to 11 positions, including dot, maximum 10 decimal places.
	Content:	Usufruct percentage.

47	Name	First Name (of Natural Person in Account Holder of Disclosure)
	Format:	Alphanumeric up to 350 positions.
	Content:	First name of the natural person who owns the disclosed position. First name.

48	Name	Surname (of Natural Person in Account Holder of Disclosure)
	Format:	Alphanumeric up to 350 positions.
	Content:	Primary name of the natural person who owns the disclosed position. Person's surname. To identify two surnames they must be separated by a "/".

49	Name	Street Name
	Format:	Text of up to 70 characters.
	Content:	Free text to identify the street name.

50	Name	Post Code
	Format:	Text of up to 16 characters.
	Content:	Free text for post code.

51	Name	Town Name
	Format:	Text of up to 35 characters.
	Content:	Free text for town.

52	Name	Country
	Format:	2-position alphabetic code.
	Content:	Country code ISO3166.

53	Name	Email Address
	Format:	Alphanumeric up to 256 positions.
	Content:	Email.

54   Name   Identification
     Format: Alphanumeric up to 35 positions.
     Content: Official (local) identification assigned by a national registration authority.

55   Name   Code of identification Type
     Format: Alphanumeric up to 4 positions.
     Content: Type of official identification assigned by a national registration authority:
                  Alien Registration Number [**ARNU**]
                  Concat [**CUST**]
                  Corporate Identification [**CORP**]
                  Driver License Number [**DRLC**]
                  Identity Card Number [**IDCD**]
                  National Registration Identification Number [**NRIN**]
                  Passport Number [**CCPT**]
                  Social Security Number [**SOCS**]
                  Tax Identification Number [**TXID**]
                 The information reported under the NRIN Code (National Registration Identification
                 Number) will be transferred in HTITU12 format to the issuer as follows:
                 If it is a natural person with Spanish nationality, the content will be validated
                 according to the structure of a NIF:
                        ❖ In the case of passing the validations, Ownership will be recorded in
                           the system as a NIF (N).
                        ❖ In the case of not passing the validations, Ownership will be rejected.
                 If it is a natural person with nationality other than Spanish, the content will be
                 validated according to the structure of a NIF:
                        ❖ In the case of passing the validations, Ownership will be recorded in
                           the system as a NIF (N)
                        ❖ In the case of not passing the validations, Ownership will be recorded
                           in the system as IDENTIFICACIÓN EN EL PAÍS DE ORIGEN (I).

56   Name   Proprietary Identification Code (Identification Type)
     Format: Alphanumeric up to 4 positions.
     Content: Official Identification type assigned by a national registration authority that differs
                 from the list of the previous field.

57   Name   Issuer of Proprietary Identification code (Identification Type)
     Format: Alphanumeric up to 35 positions.
     Content: Entity that assigns the above identification.

58   Name   Nationality
     Format: 2-position alphabetic code.
     Content: Nationality code of the natural person ISO3166.

59   Name   Birth Date
     Format: ISODate: YYYY-MM-DD.
     Content: Date of birth.

60	Name	Proprietary Identification of Investor Type
	Format:	Alphanumeric with 4 positions.
	Content:	AEB subscriber code.

61	Name	Issuer of Proprietary Identification of Investor Type.
	Format:	Alphanumeric up to 35 positions.
	Content:	Entity that assigns the above identification. Must be completed with "AEB" in the first three positions to identify the code assigned by the Spanish Banking Association.

62	Name	Code of Ownership Type
	Format:	Alphanumeric with 4 positions.
	Content:	Holder type code:
		USUF: Usufructuary
		OWNR: Owner
		BOWN: Bare owner.

63	Name	Identification of Proprietary (Ownership Type)
	Format:	Alphanumeric up to 4 positions.
	Content:	Holder type code:
		REPR: Representative.

64	Name	Issuer of Proprietary Identification (Ownership Type)
	Format:	Alphanumeric up to 35 positions.
	Content:	Entity that assigns the above identification.

65	Name	Ownership Percentage
	Format:	Numeric of up to 11 positions, including dot, maximum 10 decimal places.
	Content:	Percentage ownership.

66	Name	Usufruct Percentage
	Format:	Numeric of up to 11 positions, including dot, maximum 10 decimal places.
	Content:	Usufruct percentage.

67	Name	Shareholding Type
	Format:	Alphanumeric with 4 positions.
	Content:	Owner Code:
		BENE: Beneficial Shareholding.
		NOMI: Nominee Shareholding.
		OOAC: On Own Account.
		UKWN: Unknown.

68	Name	Unit (of Shareholding Balance)
	Format:	Numeric of up to 18 positions, maximum 17 decimal places.
	Content:	Number of securities or units, declared position.

69	Name	Face Amount (of Shareholding Balance)
	Format:	Numeric of up to 18 positions, maximum 5 decimal places, depending on the currency.
	Content:	Face amount of the declared position.

70   Name   Date (Initial Date of Shareholding)

       Format: ISODate: YYYY-MM-DD.

       Content: Start date of the position.

71   Name   Code of Initial Date of Shareholding

       Format: Alphanumeric with 4 positions.

       Content: To indicate that it is unknown:

                       UKWN: Unknown.